Operations Specifications

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ROCKWELL COLLINS, INC.

Part A-1 Print Date: 6/14/2016

Operations Specifications

A001. Issuance and Applicability

HQ Control: 02/11/2016

Certificate No.: HB4R226M

HO Revision:

05e

a. These operations specifications are issued to ROCKWELL COLLINS, INC., a Repair Station located in the United States, pursuant to 14 CFR Part 145, § 145.53. The repair station certificate holder shall conduct operations in accordance with 14 CFR Part 145 and these operations specifications.

The certificate holder's address:

Fixed Location: 5159 Southridge Parkway Atlanta, Georgia 30349-5966 Mailing Address: 5159 Southridge Parkway Atlanta, Georgia 30349-5966

- b. The holder of these operations specifications is the holder of certificate number HB4R226M and shall hereafter be referred to as the "certificate holder".
- c. These operations specifications are issued as part of this repair station certificate and are in effect as of the date approval is effective. This certificate and these operations specifications shall remain in effect until the certificate for a repair station that is located in the United States is surrendered, suspended, or revoked.
- d. The repair station specified on these operations specifications and located within the United States performs maintenance and/or an alteration of aircraft and/or aeronautical products to be installed on aircraft under the terms and conditions of the U.S./EU Safety Agreement, Annex 2, Maintenance, between the FAA and the EU.
- e. The certificate holder is authorized to use only the business name which appears on the certificate to conduct the operations described in subparagraph a.

Delegated authorities: None

Operations Specifications

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Steven M. Johnson, Principal Avionics Inspector (SO11) [1] EFFECTIVE DATE: 6/14/2016, [2] AMENDMENT #: 10 DATE: 2016.06.14 10:59:33 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Ferdinand, Barbara Jean, Station Manager

Date

Operations Specifications

A002. Definitions and Abbreviations

HQ Control: 02/11/2016

HQ Revision:

05c

Unless otherwise defined in these operations specifications, all words, phrases, definitions, and abbreviations have identical meanings to those used in 14 CFR and 49 U.S.C., as cited in Public Law 103-272, as amended. Additionally, the definitions listed below are applicable to operations conducted in accordance with these operations specifications.

BASA The Bilateral Aviation Safety Agreement (BASA) is an executive

agreement concluded between the United States and a foreign country

for the purpose of promoting aviation safety; also known as an

Agreement for the Promotion of Aviation Safety.

Certificate Holder In these operations specifications, the term "certificate holder" means

the holder of the repair station certificate described in these operations specifications in Part A paragraph A001 and any of its officers, employees, or agents used in the conduct of operations under this

certificate.

CFR Code of Federal Regulations

Class rating As used with respect to the certification, ratings, privileges, and

limitation of aircraft within a category having similar operating

characteristics.

EASA European Aviation Safety Agency

EASA Accountable

Manager

The manager who has corporate authority for ensuring that all

maintenance required by the customer can be financed and carried out

to the standard required by the EASA full-member Authority.

EU European Union

Exemption An authorization that permits an alternate means of compliance with a

specific CFR. The exemption must meet the procedural requirements

of 14 CFR Part 11.

FOCA Federal Office of Civil Aviation

FAA Accountable

Manager

A person designated by the certificated repair station who is

responsible for and has authority over all repair station operations that are conducted under 14 CFR Part 145, including ensuring that the repair station's personnel follow the regulations and serving as the

primary contact with the FAA.

Geographic An approval provided to a repair station located outside the United

ROCKWELL COLLINS, INC.

A002-1 Amdt. No: 11

U.S. Department
of Transportation
Federal Aviation
Administration

Operations Specifications

Authorization

States to perform maintenance support under contract for a U.S. air carrier (or an operator of U.S.- registered aircraft under 14 CFR Part 129) at a location other than the repair station facility. A geographic authorization is issued by the FAA to respond to a U.S. air carrier's or Part 129 foreign operator's need for maintenance at a station where the frequency and scope of that maintenance does not warrant permanently staffing and equipping the station for its accomplishment.

Limited Rating

A rating issued to repair stations for the performance of maintenance on particular makes and models of airframes, powerplants, propellers, radios, instruments, accessories, and/or parts.

Limited Ratings -Specialized Services

Rating issued for a special maintenance function when the function is performed in accordance with a specification approved by the Administrator.

Line Maintenance

Any unscheduled maintenance resulting from unforeseen events, or scheduled checks where certain servicing and/or inspections do not require specialized training, equipment, or facilities.

MAG

The Maintenance Annex Guidance (MAG) defines the process that the FAA and EASA undertake in the inspection, findings of compliance certification, and monitoring of repair stations, as well as their joint cooperation in quality assurance and standardization activities in support of the Agreement, Annex 2, Maintenance.

Maintenance

The inspection, overhaul, repair, preservation, and replacement of parts, but excludes preventive maintenance.

U.S./EU Aviation Safety Agreement, Annex 2, Maintenance

Annex 2 covers the reciprocal acceptance of findings of compliance, approvals, documentation and technical assistance regarding approvals and the monitoring of repair stations/maintenance organizations.

MIP

Maintenance Implementation Procedures (MIP) are procedures for implementing the provisions of a BASA that apply to maintenance.

MOE

A maintenance organization exposition (MOE) pertains to EASA member countries that use an MOE in place of a Repair Station Manual (RSM) and a Quality Control Manual (QCM).

Preventive Maintenance

As defined in 14 CFR part 1 and part 43 appendix A, subparagraph

QCM

Quality Control Manual

Repair Station located in the United States

A certificated repair station located in the United States.

ROCKWELL COLLINS, INC.

A002-2 Amdt. No: 11

Operations Specifications

Repair Station located outside the United

A certificated repair station located outside of the United States.

States

RSM

Repair Station Manual

1. The Certificate Holder applies for the Operations in this paragraph.

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Ferdinand, Barbara Jean, Station Manager

Date

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ROCKWELL COLLINS, INC.

A002-3 Amdt. No: 11

Operations Specifications

A004 . Summary of Special Authorizations and Limitations

HQ Control: 09/23/1998

HQ Revision:

010

Certificate No.: HB4R226M

a. The certificate holder, in accordance with the reference paragraphs, is authorized to:

	Reference Paragraphs
Use an approved electronic recordkeeping system, electronic/digital signature, and/or electronic media.	A025
Conduct operations choosing to have an antidrug and alcohol misuse prevention program.	A449

b. The certificate holder is not authorized and shall not:

	Reference Paragraphs
Use Exemptions.	A005
Perform maintenance with EASA ratings where the scope of work is authorized by a BASA/MAG (U.SEU), MIP/MaG (U.SSwiss).	A060
Perform work, including continuous operations, at additional locations other than at its primary fixed location.	A101
Conduct operations choosing to have an antidrug and alcohol misuse prevention program.	A449
Perform maintenance in accordance with foreign repair station geographic authorizations.	B050
Perform work, excluding continuous operations, at additional locations other than at its primary Fixed Location.	D100
Perform line maintenance for cert. holders conducting operations under Parts 121 and 135 and for foreign carriers/persons operating non-U.S. registered aircraft in common carriage under Part 129, apart from D100 which authorizes that work away from station.	D107

Operations Specifications

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Digitally signed by Steven M. Johnson, Principal Avionics Inspector (SO11) [1] EFFECTIVE DATE: 6/14/2016, [2] AMENDMENT #: 9 DATE: 2016.06.14 10:59:31 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

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Ferdinand, Barbara Jean, Station Manager

Date

Operations Specifications

A003. Ratings and Limitations

HQ Control: 01/30/2004

Certificate No.: HB4R226M

HQ Revision: 010

The Certificate Holder is authorized the following Ratings and/or Limitations:

Class Ratings

Radio Class 1: Communications Equipment Radio Class 2: Navigational Equipment

Radio Class 3: Radar Equipment Instrument Class 1: Mechanical Instrument Class 2: Electrical Instrument Class 3: Gyroscopic Instrument Class 4: Electronic

Accessory Class 1: Mechanical Accessories Accessory Class 2: Electrical Accessories

Limited Ratings

Rating Manufacturer Make/Model Limitations

None Authorized

Limited Ratings - Specialized Services

Rating Specifications Limitations

None Authorized.

Operations Specifications

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3. I hereby accept and receive the Operations Specifications in this paragraph.

Dow 6/15/14

Ferdinand, Barbara Jean, Station Manager

Date

Operations Specifications

A025.	Electronic/Digital Recordkeeping System,	
	Electronic/Digital Signature, and Electronic Media	

HQ Control: 11/16/2004

HO Revision:

00a

The certificate holder is authorized to use an approved electronic/digital recordkeeping system, described and/or referenced in this paragraph (if none, enter N/A.)

Electronic Repair Tracking System per RSM Subpart E page 3 of 4.

The certificate holder is authorized the use of the following electronic/digital signature procedures (if none, enter "N/A").

N/A

The certificate holder is authorized to use electronic media for the repair station and quality control manuals, if acceptable (if none, enter "N/A").

N/A

- 1. The Certificate Holder applies for the Operations in this paragraph.
- 2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Steven M. Johnson, Principal Avionics Inspector (SO11) [1] EFFECTIVE DATE: 6/14/2016, [2] AMENDMENT #: 7 DATE: 2016.06.14 10:59:29 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Ferdinand, Barbara Jean, Station Manager

Date

Operations Specifications

A007. Designated Persons

HQ Control: 12/19/2006

Certificate No.: HB4R226M

HQ Revision:

030

a. The personnel listed in the following table are designated to officially apply for and receive operations specifications for the certificate holder indicated below.

Table 1 - Designated Persons to Apply for and Receive Authorizations

Title	Name	Parts Authorized
FAA Accountable Manager, 145 / Station Manager	Ferdinand, Barbara Jean	A
Chief Inspector / Quality Manager	Turnipseed, Robert Wayne	A

b. The following personnel listed in Table 2 are designated by the certificate holder to receive Information for Operators (INFO) messages for the certificate holder as indicated below. A receipt for the information by an operator or person is not required.

Table 2 - Designated to Receive INFO Messages

			B
Name	Email Address	Telephone No.	Type of Information to Receive
Barbara Jean Ferdinand	Barbara.Ferdinand@rockwellcollins.com	321 768 7185	ALL

- 1. The Certificate Holder applies for the Operations in this paragraph.
- 2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Steven M. Johnson, Principal Avionics Inspector (SO11) [1] EFFECTIVE DATE: 6/14/2016, [2] AMENDMENT #: 14 DATE: 2016.06.14 10:59:30 -05:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Ferdinand, Barbara Jean, Station Manager

Date

Operations Specifications

A449. Antidrug and Alcohol Misuse Prevention Program

HQ Control: 07/17/2009

Certificate No.: HB4R226M

HQ Revision: 00a

a. The Part 145 repair station certificate holder has elected to implement an Antidrug and Alcohol Misuse Prevention Program, because the certificate holder performs safety-sensitive functions for a 14 CFR Part 121, and 135 certificate holder and/or for a 14 CFR Part 91 operator conducting operations under Section 91.147.

- b. The certificate holder certifies that it will comply with the requirements of 14 CFR Part 120 and 49 CFR Part 40 for its Antidrug and Alcohol Misuse Prevention Program.
- c. Antidrug and Alcohol Misuse Prevention Program records are maintained and available for inspection by the FAA's Drug Abatement Compliance and Enforcement Inspectors at the location listed in Table 1 below:

Table 1

	Location & Telephone of Antidrug and Alcohol Misuse Prevention Program Records:
Telephone Number:	A2
Address:	400 Maria (100 Maria (
Address:	
City:	
State:	
Zip code:	

d. Limitations and Provisions.

- (1) Antidrug and Alcohol Misuse Prevention Program inspections and enforcement activity will be conducted by the Drug Abatement Division. Questions regarding these programs should be directed to the Drug Abatement Division.
- (2) The certificate holder is responsible for updating this operations specification when any of the following changes occur:
 - (a) Location or phone number where the Antidrug and Alcohol Misuse Prevention Program Records are kept.
 - (b) If the certificate holder's number of safety-sensitive employees goes to 50 and above, or falls below 50 safety-sensitive employees.
- (3) The certificate holder with 50 or more employees performing a safety-sensitive function on January 1 of the calendar year must submit an annual report to the Drug Abatement Division of the FAA.
- (4) The certificate holder with fewer than 50 employees performing a safety-sensitive function on January 1 of any calendar year must submit an annual report upon request of the Administrator, as specified in the regulations.

The certificate holder has 50 or more safety-sensitive employees.

Operations Specifications

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3. I hereby accept and receive the Operations Specifications in this paragraph.

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Ferdinand, Barbara Jean, Station Manager

Date